Policy advice MASTERCLASS



Brief 57 Election special

Election 2023: Getting a new Minister? And other possible changes...

We have produced a masterclass around the time of the last couple of elections. While we don't want to get involved with predicting the outcome of the election at this point – there will be change!

- Either there will be a National-led Government. This will mean that many Ministers will be completely new – similar to the change that occurred in 2017. None of the top few on their list have been Ministers before. And of the top 20 – only six have been Ministers before.
- Or if a Labour-led Government eventuates, it will take a different complexion as it will be a coalition of parties (or some sort of support arrangement) rather than a majority Government. Again, there will likely be new Ministers with retirements, some MPs losing their seats, and coalition/support party Ministers being involved.

Either way, you are highly likely to have a change in your Minister. And to a greater or lesser extent, the policy agenda will also change.

We know you'll be preparing your Briefings for the Incoming Minister.

But it's also worth considering how you and your policy teams will adjust to having a new Government and/or a new Minister once those initial interactions are completed.

Of course, with a change of Government, the policy agenda will change

While there is always a significant element of business as usual – no matter who the Government is, standard processes happen no matter what, e.g. monitoring reports, appointments, annual updates to regulations, fee setting, etc.

But, different things will become a priority, both across Government and within your own policy ambit. And some of the things you've put lots of time and effort into – may no longer be top priorities.

The style and logic of your advice will almost certainly need to change, too, so you need to be flexible and open-minded to meet the new challenges.

There is plenty of information out there about the policies of different parties. It's worth having a look if you haven't already.

Of course, these will be modified based on collation and support party discussions and agreements.

They may also be modified based on the expert advice you provide. In the main, political party policies benefit from the expert and practical advice officials provide. There are often modifications to be made to make them work.

https://www.nzier.org.nz/hubfs/Masterclasses/Central%20Government/brief 21 getting a new minister.pdf and https://www.nzier.org.nz/hubfs/Masterclasses/Central%20Government/Brief%2039%202020%20Election%20special-%202022.pdf

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The economic situation will continue to change the policy agenda

The pre-election opening of the books² was on the 12th of September.

In this, Treasury indicated soft economic growth, a recent reduction in the tax take – and for that to continue. This gives any incoming Government less room to move.

This could well be a reality check on the policy agenda.

It's worth remembering the basic lessons of 'communicating with aliens'³

New Zealand Ministers inhabit the political sphere⁴ where voters and their perceptions matter a lot, where different – often conflicting – interest groups are part of the landscape and where the consistency of stories and keeping of promises is checked by an ever-present media (and social media).

Their immediate interest thus looks to the next election, the prestige of office and the exercise of power.

To achieve and sustain this, they must have available the tools of their trade: snappy soundbites, quick responses, and sensible reasons for their choices.

Advisors, though, are public servants working for other public servants. They inhabit a different environment, albeit one that overlaps with that of Ministers.⁵

supporting their bosses, ticking off to-do lists and avoiding unmanageable risks to themselves.⁶

This means there are limited common reference points between Ministers and advisors.

where they live - vary considerably. Advisors care

more about being the experts Ministers expect,

Their background, role and behaviour – even

Fundamentally, it is the job of the policy shop to adjust to new Ministers rather than the other way around. You've been put together – now you must work hard to build a relationship based on trust and focused on a potentially new set of priorities.

A successful long-term shop/Minister relationship is vital for your advice to be effective. And, while face-to-face contact at senior levels helps, the core of the bond rests on the quality and robustness of the stream of work from the shop. Also, the closer it matches the Minister's style preferences, the better.

And getting it right cannot be delayed, so understanding who you are dealing with is vital.

Do your homework – understand your new Minister's background and interests

We know we've said this before. But it's worth recapping.

We also know that some of you have had several changes of Ministers in recent times – so will be well prepared. Although, a change in Government is more significant than just a change in Minister.

And for newer policy analysts who may not have experienced a significant change before – it's essential to understand the dynamics.

As well as getting a grip on new policy priorities, your Minister's level of understanding on an issue should be a major factor in how you pitch your briefing papers to them.

It's important to get it right for a new Minister. Without knowing where they are coming from, you'll end up telling them too much, and it won't be appreciated, or not including enough to understand the issue, or them to make an

https://www.treasury.govt.nz/sites/default/files/2023-09/prefu23.pdf

In New Zealand, Ministers are required to be elected members of Parliament. In comparison, in the US the Cabinet is a separate arm of the government and anyone appointed to a Cabinet role cannot be a member of congress or the senate. Even the UK, Ministers can be members of the House of Lords. but are more usually MPs.

A shrewd and insightful coverage of this relationship is detailed (with many examples from the New Zealand scene) in With Respect by Mark Prebble available on line at https://researcharchive.vuw.ac.nz/xmlui/bitstream/handle/10063/8205/with-respect.pdf?sequence=1

We exaggerate and simplify here for the sake of exposition. We know advisors are driven by many things, including a genuine desire to make New Zealand a better place and to influence the direction of policy – as are Ministers, of course.

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informed decision and take it through the Government processes.

A good place to start is to understand your Minister's:

• Level of knowledge about a particular portfolio or issue – have they been a Minister in an associated portfolio? Or a Minister responsible for the portfolio before? Have they been an opposition spokesperson on the issue? Have they worked in the sector before entering Parliament? What is their professional background?

The new Minister's professional background can not only tell you about what they know, but it can also influence how they think about an issue. Or, more particularly, the way they are used to absorbing information. The examples we often hear are that lawyers tend to prefer written briefs rather than relying on diagrams, tables and infographics and that accountants like numbers, variances and graphs.

Some Ministers do everything electronically – which may mean the style of your briefings is different⁸. Others prefer paper.

• Experience in the Government and Cabinet process (and perhaps in Parliamentary processes) – new Ministers typically won't be used to dealing with their departments, managing the policy advice process, taking things through Cabinet, or even shepherding legislation through the Cabinet and then Parliamentary processes. They'll need more advice on the rules of the game (e.g. the Cabinet Manual⁹ and perhaps Standing Orders) and how to manage the processes effectively. It's very different being a backbencher than it is a Minister.

Figure 1 outlines where to focus your efforts depending on the type of Minister you get.

Masterclass No 2 (2016) had some advice on how various professions approach problems. https://www.nzier.org.nz/hubfs/Masterclasses/Central%20Government/brief no 2 learning from other professions.pdf

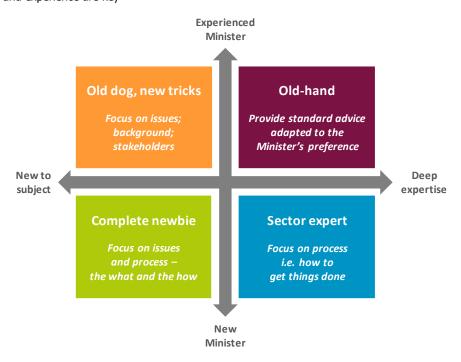
Masterclass No. 12 Going electronic https://www.nzier.org.nz/hubfs/Masterclasses/Central%20Govern ment/brief 12 going electonic.pdf

⁹ https://dpmc.govt.nz/our-business-units/cabinet-office/supportingwork-cabinet/cabinet-manual



Figure 1 Defining new Ministers' needs

Background and experience are key



Source: NZIER

What does it imply?

Old dog, new tricks

Needs subject knowledge. Focus on: issues, background and stakeholders. Limited process advice, except where there are portfolio-specific processes, e.g. those required under the legislation you administer, as they may well be a bit different to those your Minister is used to.

Complete newbie

Needs it all! Focus on issues in context, with plenty of process advice. What and how.

Lower ranking new Ministers are also very conscious about how their advice will play with senior Ministers.

After all, they want to be seen to do well and get promoted eventually. Your job as policy advisor includes providing tactical advice to support your Minister. For example, information on the likely perspectives of senior Ministers (and their departments) and strong sets of talking points to guide your Minister in formal and informal discussions with colleagues.

Old-hand

Only needs standard briefings tailored to specific preferences and manifesto/coalition agreements as these emerge. Limited process advice.

Sector expert

Needs process advice. Focus on making things happen and being clear about what the Minister has to do themselves. While perhaps needing limited subject matter advice – remember the new Minister may have a different perspective. So, don't forget to adjust your language to what they are comfortable with and back up arguments with data and evidence.

Remember, coalition politics will also be at play

This will depend upon the coalition/support agreements negotiated between parties looking to become Government.

Under the last Labour-led Government, New Zealand First and Green's Ministers each had a different status.



New Zealand First Ministers were typically inside Cabinet – and, therefore, had an important role in decision making at the heart of Government. They were bound by the Cabinet conventions of collective responsibility and Ministerial responsibility. Although the former broke down a little in the run-up to the election.

Greens Ministers were Ministers outside Cabinet — as they have been during the 2020-23 term. This meant that while they had portfolio responsibilities and attended Cabinet Committees, they weren't formally part of Cabinet. This allowed them to support policies at odds with the Government's policy (except in the portfolio areas). It also meant that their influence on other policy areas often came down to personal relationships or political processes (e.g. Ministerial consultation on papers before going through Cabinet).

There are a few rules about getting started

There is strict guidance about when Chief Executives can start briefing new Ministers in the Cabinet Manual and the PSC Guidance. These are both referenced below.

The first cab off the rank for a new Minister is a call from the Chief Executive, followed by an initial meeting and the presentation of the Briefing for the Incoming Minister (BIM). No doubt you will have contributed to this over the previous few weeks.

The BIM is an introduction to the portfolio. Generally, there will be a further series of in-depth briefings in the run-up to Christmas (for Ministers, the holiday season is not only time for a much-needed break after an exhausting election campaign, but a chance for some catch-up reading and planning their next steps). There will also be briefings required on urgent matters.

The Chief Executive and senior managers generally lead off the first few meetings with the Minister. But, you'll soon be exposed to the new arrangements.

Machinery of Government may change

Possible structural changes in the operational setting could include:

- Different Cabinet Committees covering different groupings of portfolios. These decisions are for the incoming Prime Minister, and the shape of Cabinet Committees may change to take account of priorities and major areas of focus for an incoming Government.
- New sets of Ministerial working groups again, driven by the priorities of the incoming Government.
- Different rules for support party Ministers
 can make the Cabinet process more
 complicated coalition agreements often set
 the rules for dealing with support party
 Ministers, for example are they in or out of
 Cabinet? Are there certain issues on which
 they must be consulted?
- **Different Select Committees** the terms of reference and membership proportions are determined by the House Business Committee. This is particularly important if you have legislation in progress.
- All business before Parliament lapses on the dissolution of Parliament – this means work on Bills in their various stages, petitions, Select Committee inquiries, etc. stops.
 Parliament must explicitly decide if any of the lapsed items will be picked up again or not.
 So again, there may be changes in priorities or policy work to do on Bills already in process.
- Different processes to develop the next
 Budget the current Government has
 developed a set of processes and
 assessments that need to be done for
 developing Wellbeing Budgets, and
 responding to Government priorities. Not
 only will priorities change. But processes may
 very well change, too. Treasury will no doubt
 send out guidance in good time.



The language of policy advice may change

A new Government, or even a new Minister (particularly from a different political party), will seek to change some of the language and terms used. This is all about branding new and existing policies. For example, the incoming Labour-led Government in 1999 changed terminology in health from purchasing to funding.

There will be 'in words' and 'out words' – but symbolism matters. You need to be alert and adopt the fresh language. It shows new Ministers that you are conscious of their new priorities. 10

You can get a good idea about what some of these new words might be from party policy documents on their websites and coalition agreements. In time, they will become built into new policies and new legislation.

New Ministers do get some support

Of course, new Ministers will get support on these things through training (e.g. the Cabinet Office usually runs courses for new Ministers). They will also get support in their office through Ministerial Services appointments to their offices (Principal Private Secretaries are often career Ministerial Services staff who move between offices), political advisors (who may or may not have experience in the role) and the private secretaries that agencies appoint to their offices.

They'll also have plenty of stakeholders lining up to see them, inform them and influence them.

And you will have done your BIM, followed by a series of specific issues papers.

But, it's a tough role anyway. And it is even tougher if it's completely new. It will take time for new Ministers to learn how to be effective in the role, and to get up to speed on the issues. They'll also have to learn to work with officials.

Keep working on the relationship

Working out the type of Minister you're assisting and sussing the new environment are just early steps in building the relationship.

You then have a key role in consolidating it by supporting your new Minister through your advice papers. You will need to develop an understanding by the whole shop of what works best for your Ministers and their key advisors/office staff.

If your new Minister has been a Minister before, find out from the Ministerial team in those departments where they worked earlier about their style preferences. Those teams will be a mine of useful advice on the main style and presentation dos and don'ts.

Seek feedback early and often

But the best source of advice about the new Minister's current preferences is the new Minister and their new staff.

Make sure you seek feedback from the Minister and the office staff about whether papers are hitting the mark or not. We know there is a requirement to do regular Ministerial satisfaction surveys. But you might want to do something less formal and well before this comes around.

This has the added benefit of sending a very positive signal to your new "boss" – that you want to provide advice in a style and format that meets their needs.

The more experienced Ministers will have a clear idea of what works and what doesn't for them.

Whichever they are, the more that can be learnt early, the easier it is to get the relationship off on the right foot (and stay there). Senior management's sessions with the new Minister can be golden, but their insights must spread through the shop quickly and consistently to be useful.

Some agencies have regular 'whole of shop' feedback sessions in the first months of a new Minister. Even if you think that's a bit too much, a regular session to debrief the departmental representative in the Ministerial office is always valuable.

New Ministers will be learning as they go. So, try some different things in format and presentation — and make sure you get the associated detailed feedback to embed the right practices as you go.

Language is an important signal of identification. Changing terms to line up with those favoured by the new government is the quickest way the policy shop can show it is on board and recognises there is a new regime. See Morris (2001).



So, early and often is the right approach for experimenting with them.

Customer focus should be even more of a priority than usual early in the relationship.

Annexe: BIM rules

According to the Cabinet Manual, a BIM is expected to cover:

- A description of the organisation and responsibilities of the department or agency, as well as any Crown entities or other state sector agencies within the portfolio.
- The terms of reference, membership, and terms of office for all boards, commissions, tribunals, and so on, for which the Minister has responsibility.
- Major outstanding policy issues and the implementation of current programmes.
- Details of pending decisions or actions that will be required of the Minister, including recommendations for draft legislation (taking into account any coalition or support agreements, or pre-election undertakings).

References

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